

Shareholder Attestation Letter for HRS Deposit in a Marijuana-Related Business

SHAREHOLDER LETTERHEAD

AXOS Clearing, LLC
1200 Landmark Center
Suite 800
Omaha, NE 68102-191

To AXOS Clearing:

Through my brokerage firm _____ [BROKERAGE FIRM], I have caused to be delivered to AXOS Clearing _____ shares in _____ [ISSUER NAME].

To assist with the deposit of these shares in a manner that is consistent with FinCEN guidance released February 14, 2014, I have agreed to provide the below attestations.

I hereby confirm that I am not:

1. assisting in the distribution of marijuana to minors;
2. participating in the diversion of marijuana from states where it is legal under state law in some form to other states;
3. contributing to adverse public health consequences associated with marijuana use
4. facilitating the growing marijuana on public lands;
5. facilitating the possession of marijuana on federal property;
6. facilitating the flow of revenue from the sale of marijuana to criminal enterprises;
7. facilitating violence or the use of firearms in the cultivation and distribution of marijuana; and,
8. using state-authorized marijuana activity as a cover or pretext for the trafficking of other illegal drugs.

Should you have any further questions, you may contact me at _____ [email] or _____ [phone].

Sincerely,

Name of Shareholder Entity (if applicable):

Signature: _____

Print Name: _____

Title (if applicable): _____