

# Interested Party Authorization

Account Owner(s) may authorize an interested party access to brokerage account statements and/or trade confirmations for its account(s) by completing the information below.

## STEP 1: INTERESTED PARTY INFORMATION

☐ Add an Interested Party ☐ Remove an Interested Party

Interested Party Name		Relationship to Account Owner(s)
Interested Party Email (optional)		Phone Number
Address		
City	State	ZIP Code

## STEP 2: DOCUMENT DELIVERY INSTRUCTIONS

Indicate the type(s) of documents you would like to be delivered to this Interested Party.

- ☐ Duplicate Brokerage Statements  
☐ Duplicate Trade Confirmations

## STEP 3: IDENTIFICATION OF ACCOUNTS

List all account numbers for which you authorize this interested party to obtain duplicate brokerage statements and/or trade confirmations.

Account Title	Account Number
Account Title	Account Number
Account Title	Account Number
Account Title	Account Number

*Please note, once the interested party has been added, they will continue to receive duplicate statements and/or confirmations until revoked by the account holder with an updated request form to remove the interested party access.*

## STEP 4: SIGNATURE(S)

By signing below, the Account Owner(s) authorize the Introducing Broker Dealer ("IBD") and/or Axos Clearing LLC ("Axos Clearing") to send to the interested party named above, account information as described in Step 2 of this form. I (we) understand and acknowledge that this information may include account information that is confidential and privileged.

I (we) understand that the IBD and/or Axos Clearing does not attempt to verify the address I have provided, and therefore the IBD and/or Axos Clearing will not be responsible for non-submission of information due to an inaccurate address.

**All Account Owners and Authorized Agent must sign.**

<b>ACCOUNT OWNER(S)</b>		
Account Owner Signature ✕	Print Name	Date
Account Co-Owner Signature ✕	Print Name	Date

*Original signature required: electronic signatures and/or signature fonts are not authorized.*

Broker Signature ✕	Print Name	Date
General Principal Signature ✕	Print Name	Date